

1 Effects of inhaled linalool on anxiety-related behaviors and frontal cortical
2 serotonin levels in mice.

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17 *Abstract:*

18 The scent of plants is known to affect the mental and physical condition of
19 animals. Linalool is one of the main components of lavender scent, and its
20 inhalation has been suggested to have an anxiolytic effect in animals; however,
21 its mechanism has been unclear.

22 In this study, we conducted behavioral analysis experiments using an elevated
23 plus-maze test and measured monoamine levels in prelimbic prefrontal cortex
24 (PL-PFC), a brain region thought to be related to anxiety, using microdialysis to
25 investigate the mechanism of underlying the anxiolytic effects of linalool
26 inhalation in mice, and the mechanism of underlying the effects of linalool's
27 inhalation on brain transmitters. In an elevated plus maze test, the behavior of
28 the mice in the maze was recorded for 5 minutes and their spontaneous
29 locomotor activity and anxiety -related behaviors were assessed. While
30 performing microdialysis samples were continuously collected and measured
31 every 10 minutes for a total of 180 minutes from 120 minutes before treatment
32 to 60 minutes after treatment.

33 The results showed that the inhalation of linalool prolonged the rate of time
34 spent in the open arm of the elevated plus-maze test (Saline: $3.12 \pm 1.72\%$,
35 Linalool: 33.17 ± 11.49 , $p=0.037$). Microdialysis measurement of the frontal
36 cortical serotonin concentration showed that serotonin the concentration was
37 significantly increased in the diazepam injection group in comparison to the
38 control group, whereas no significant change was observed in the linalool
39 inhalation group (Saline: $95.36 \pm 13.64\%$, Linalool: 62.2 ± 11.49 , $p=0.037$,
40 Diazepam: 191.99 ± 47.28 , $p=0.0082$) . This suggests that the mechanism of the
41 anxiolytic effect of linalool may not be involved in the serotonergic transmission
42 of 5-HT_{1A} receptors in the PL-PFC.

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44 Footnotes

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52 ***Introduction:***

53 Aromatherapy using essential oils is an ancient form of traditional medicine and folk
54 medicine that has been practiced in Egypt, The Arabian peninsula, and Europe since
55 ancient times¹⁰⁾¹¹⁾. In modern times, aromatherapy is widely used around the world for
56 personal physical and mental health, relaxation, stress relief, and the relief of chronic
57 pain, depression, cognitive disorders, anxiety, insomnia, and stress-related disorders¹²⁾.
58 In Japan, led by essential oil companies and the beauty and cosmetic industries, it is
59 used as a hobby, beauty treatment, and relaxation tool. In the medical and nursing care
60 fields, it is used as an alternative therapy to alleviate pain, reduce anxiety. It is also used
61 to improve the environment of hospital rooms.
62 The main physiological effects of aromatherapy, which are sedative¹⁾²⁾³⁾⁴⁾,
63 anxiolytic⁵⁾⁶⁾⁷⁾, and antidepressant effects⁸⁾, have been extensively reported. Many
64 studies have also been conducted to elucidate the effects of plant-derived essential oils
65 and aromatic species used in aromatherapy on the central nervous system (CNS) in
66 animals and humans²⁾³⁾¹³⁾¹⁴⁾⁷⁾¹⁵⁾¹⁶⁾. Among them, the dose-dependent sedative effect of
67 linalool¹⁷⁾ is a common component of major essential oils (e.g., lavender, citrus, lemon

68 balm, lemongrass, rosemary, and bergamot) traditionally used in sedatives, analgesics,
69 sleeping pills, and anxiolytics. In addition, anticonvulsant effects related to the
70 regulation of glutamate transmission¹⁷⁾¹⁹⁾, which affects autonomic neurotransmission
71 and antihypertensive effects have been reported²⁰⁾. Furthermore, the inhalation of
72 linalool has been reported to have sedative and anxiolytic effects, increasing social
73 interaction and reducing aggressive behavior.²⁵⁾

74 However, research on the mechanisms and psychopharmacological properties of
75 essential oil inhalation in the brain remain scarce. In the present study, we measured the
76 serotonin concentration in extracellular fluid in the prelimbic prefrontal cortex (PL-
77 PFC) using microdialysis and performed a behavioral analysis to investigate the
78 mechanism of the anxiolytic effects of inhaled linalool in mice.

79

80 ***Materials and Methods:***

81 ***Animals:***

82 This experiment was conducted using 36 six-week-old male C57BL/6N mice purchased
83 from CLEA Japan, Inc. Mice were randomly assigned to the linalool group (n=12), the

84 diazepam group(n=12), and the control group(n=12). During the two weeks leading up
85 to the experiment, the mice were housed in a control room at $22 \pm 1^{\circ}\text{C}$ in three cages on
86 a 12-hour light/dark cycle (lit at 8:00 AM)-with ad libitum access to food and water. In
87 addition, the mice were handled twice for two minutes per time during the period
88 leading up to the experiment. Mice were moved from the rearing room to the laboratory
89 on the day of the experiment and were divided into the different treatment groups. The
90 protocol for this experiment was in accordance with the guidelines for the welfare and
91 use of laboratory animals of the National Institute of Health and was approved by the
92 Ethical Review Committee for Animal Experiments of Fukuoka University (approval
93 number 1805012).

94 ***Materials***

95 In this study, linalool and diazepam were acquired from Sigma-Aldrich Japan. Saline
96 was administered to the control groups.

97 ***Inhalation device***

98 The inhalation device was constructed from a double-bottomed plastic container
99 capacity: 4.4L). Perforated aluminum board and a piece of filter paper (50×50 mm

100 square filter paper) were placed underneath it for linalool transpiration. In the linalool
101 exposure group, 5µl of linalool was dripped into the container to make the concentration
102 of linalool in the container 1 mg/l when it was completely volatilized, and the mice in
103 the container inhaled it. The linalool concentration was determined based on previous
104 studies²¹). In the control group, saline was dripped and transpired using the same
105 apparatus. A hole (diameter : 3.5cm)was drilled in the center of the container lid for
106 breathing and the insertion of microdialysis tubes. The containers were washed and
107 dried after each experiment to ensure that there were no residual aromatic components.

108 *Administration of anti-anxiety drugs*

109 Diazepam was intraperitoneally injected at a dose of 10mg/kg.

110 *Microdialysis*

111 Microdialysis was performed with reference to the methods of previous studies²²). After
112 acclimatization ; mice were anesthetized by the intraperitoneal administration (10 µl/g)
113 of three mixed anesthetics (medetomidine 0.4 mg/kg, midazolam 2.0 mg/kg, and
114 butorphanol 2.5 mg/kg) and the fixation of a stereotaxic device (Kopf 900; David Kopf
115 Instruments, Tujunga CA). After fixation, a microdialysis guide cannula was embedded

116 in the PL-PFC (AP +1.9 mm, L +0.3 mm, and V –1.8 mm from bregma) The guide
117 cannula was fixed to the skull using bone anchor screws and dental resin (acrylic
118 cement). Postoperatively, the animals were housed in a single individual cage for at
119 least 24 hours until the microdialysis experiments were performed. The microdialysis
120 probe (CX-01; EICOM, Kyoto, Japan) was perfused continuously at a rate of 2.0
121 $\mu\text{l}/\text{min}$. At 120 min after the start of probe perfusion, both the linalool and control
122 groups were exposed and diazepam was administered by intraperitoneal injections to the
123 diazepam group.

124 Dialysate samples were collected every 10 minutes for 120 minutes before exposure or
125 administration and 60 minutes after exposure or administration, and high-performance
126 liquid chromatography (HPLC) of the resultant samples was performed to measure
127 monoamines in the brains of the mice at the time of exposure. We also assessed anxiety-
128 like behavior with an elevated plus maze (EPM) test as a behavioral experiment after
129 perfusion was completed. (At the end of each experiment, the mice were decapitated
130 and the position of the dialysis probe was checked).

131 *Elevated plus maze test*

132 The anxiolytic-like effects of linalool were evaluated using an elevated plus-maze
133 test²³). Serotonin levels were measured by microdialysis, then the EPM test was
134 performed for five minutes.

135 The EPM apparatus consisted of a plastic crossed open arm (30 × 5 cm), a closed arm
136 (30 × 5 × 25 cm) and a central square (5 × 5 cm). The device was 60 cm in height.
137 (Fig.1). The maze was placed in a room that was artificially illuminated by three 60 W
138 white fluorescent lights. All evaluated parameters were videotaped during the 5-min
139 session and analyzed by Panlab Smartver 3.0.06.

140 ***Statistical analysis***

141 An ANOVA and Dunnett's tests were performed to analyze the results of each
142 procedure. The JMP software program (version 12.2) was used to perform all of the
143 analyses. The results were expressed as the mean ± standard error of the mean. P values
144 of <0.05 were considered to indicate statistical significance.

145

146 ***Results***

147 ***Elevated plus-maze test***

148 As shown in Figure 2, in the EPM test, no significant differences were observed
149 among the linalool-inhalation, diazepam-injection, and control groups in terms of the
150 total travel distance. A comparison by analysis of variance showed significant results,
151 so a comparison of the groups by Tukey-kramer's SD test showed no significant
152 difference. Therefore, we concluded that it cannot be said to show a sedative effect.
153 (ANOVA : $p=0.048$; Tukey-Kramer: Saline vs. Linalool, $p=0.060$; Saline vs. Diazepam,
154 $p=0.113$; Diazepam vs. Linalool, $p=0.949$)

155 The time spent in the open arm by the linalool-inhalation group was significantly
156 increased in comparison to the control group ($P < 0.05$). This trend was also observed in
157 the diazepam-injection group a; however, the difference did not reach statistical
158 significance (Figure 3-A). The distance traveled within the open arm was also
159 significantly increased in the linalool-inhalation and diazepam-injection groups in
160 comparison to the control group, as shown in Figure 3-B ($P < 0.05$)

161 ***Microdialysis experiment***

162 Based on the results of the measurements, the serotonin concentrations in the material
163 before and after administration were calculated and the change in the serotonin

164 concentration after administration was compared to the pre-dose levels. As a result, the
165 serotonin peak in the diazepam-injection group was significantly increased in
166 comparison to the control group. On the other hand, the group that inhaled linalool
167 showed a decrease in the serotonin peak; however, this was not superior to that in the
168 control group (Figure 4).

169 *Discussion*

170 Anxiety-related disorders are among the most common psychiatric disorders in modern
171 society. Approximately 5.3% of Japanese adults and 18.2% of U.S. adults have met the
172 diagnostic criteria for at least one anxiety disorder within the past 12 months²⁴⁾, and the
173 development of effective treatments and medications for anxiety disorders seems very
174 important. The first-line medications for anxiety disorders include serotonin selective
175 reuptake inhibitors (SSRIs), benzodiazepines that act on gamma-aminobutyric acid
176 (GABA) receptors, and serotonin receptor agonists, which are associated with numerous
177 side-effects (headache, somnolence, dizziness, sexual dysfunction, and serotonin
178 syndrome); in particular, benzodiazepine anxiolytics are associated with harmful effects

179 such as dependence, abuse, retrograde amnesia, and hyper-sedation. Thus there is a
180 need for new solutions.

181 Various alternative medicines are used to supplement the weaknesses of Western
182 medical approaches. Among them, aromatherapy is considered a useful tool for its
183 sedative and anxiolytic effects due to its long history, simple method of using essential
184 oils extracted from plants by inhalation or application, and the fact that it has still left
185 the realm of use as a relaxation method in Japan¹⁾²⁾³⁾⁴⁾⁵⁾⁶⁾⁷⁾.

186 In aromatherapy, a wide variety of plant essential oils can be used depending on the
187 symptoms and the purpose for which aromatherapy is beins preformed. In the present
188 study, using an EPM test we confirmed that exposure to linalool— a common
189 component of essential oils traditionally used as sedatives, analgesics, sleep
190 medications, and anxiolytics—induced anxiolytic effects in mice. This result ~~is~~ was
191 consistent with previous studies²⁵⁾²⁶⁾²⁷⁾.

192 First, in the EPM test, total distance traveled by the control, diazepam, and linalool
193 groups did not differ to a statistically significant extent indicating that neither the
194 inhalation of linalool nor the injection of diazepam had a sedative effect during the

195 behavioral analysis. Benzodiazepines usually have anxiolytic and sedative effects,
196 however, the benzodiazepine group in this study showed an anxiolytic effect without
197 sedative effects. In the linalool-inhalation group, although the effect of linalool was
198 attenuated by the diffusion of the aroma, the route of administration (i.e., inhalation)
199 enabled continuous administration for a relatively long period of time and its effect was
200 not accompanied by sedation.

201 Second, the inhalation of linalool significantly increased both the time spent in the open
202 arm and the distance traveled in the open arm and had a stronger anxiolytic effects in
203 comparison to those observed in either the control group or the diazepam-injection
204 group.

205 Although the anxiolytic effects of linalool inhalation have been widely reported ¹⁾⁵⁾⁶⁾⁷⁾,
206 the mechanism of this effect is often unknown. In the present study, we conducted real-
207 time microdialysis experiments in the control, diazepam-injection, and linalool-
208 inhalation groups.

209 The brain serotonin concentration after the intraperitoneal administration of diazepam
210 was significantly increased in comparison to the control group, as shown in Figure 4.
211 This result may reflect the anxiolytic effect of diazepam, as reported previous study²⁸⁾.
212 On the other hand, in the linalool group, brain serotonin levels in the PL-PFC during the
213 inhalation period were lower than those in the control group, although the difference
214 was not statistically significant.

215 Some previous studies have reported that the administration of flumazenil completely
216 abolished the anxiolytic effects of linalool exposure, while the administration of
217 WAY100635, a 5-HT_{1A} receptor antagonist, was not associated with a significant
218 changes in anxiolytic effects.²⁹⁾³⁰⁾³¹⁾ Taken together with the results of the present
219 experiments, the anxiolytic effects elicited by linalool inhalation are based on a
220 mechanism that does not involve serotonergic transmission associated with the PL-PFC
221 5-HT_{1A} receptor (e.g., a GABA receptor-related anxiolytic mechanism) or a brain
222 region that is not included in the PL-PFC (e.g., the hippocampus). Thus, further
223 research on these targets would improve our understanding of the mechanisms of
224 aromatherapy-induced anxiolysis. Since aromatherapy is widely used as a traditional

225 alternative therapy, it is presumed that patients with anxiety disorders often use
226 aromatherapy in conjunction with pharmacotherapy for self-care or in mental clinic
227 waiting rooms. However, these results suggest that linalool and benzodiazepines have
228 different serotonergic properties in the PL-PFC, a brain region related to anxiety, which
229 may cause unintended effects such as counteraction, attenuation, or even potentiation
230 when used together. The risks of the concomitant use of multiple medications,— not
231 just benzodiazepines— in clinical practice for anxiety disorders and depression have
232 been discussed in recent years; thus, alternative therapies such as aromatherapy are
233 gaining attention as a treatment with fewer side-effects.

234 Further research should be conducted to investigate ~~on~~ the pharmacological mechanism
235 of action of aromatherapy and its interaction with anxiolytic drugs. Specifically, studies
236 should be performed to measure the effects in brain regions outside the PL-PFC and to
237 analyze the effects of aromatherapy in a more continuous manner. Furthermore,
238 validation experiments should be conducted using the combination of benzodiazepines
239 and linalool, and to compare the effects of aromatherapy with those of anxiolytic drugs
240 other than benzodiazepines.

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243 commercial, or not-for profit sectors.

244

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338 ***Legends for Figures:***

339 Fig.1:Elevated plus maze test(EPM)

340 The elevated plus maze consisted of a plastic crossed open arm (50×10 cm),
341 a closed arm ($50 \times 10 \times 40$ cm), and a central square (10×10 cm) with a 60
342 cm high device.

343

344 Fig. 2: Total distance in the elevated plus-maze test.

345 Mice on an elevated plus maze were recorded for 5 minutes and assessed for
346 spontaneous locomotor activity and anxiety states.

347 Data are presented as means \pm SEM from 12 mice.

348 Abbreviations; sal for saline, lin for linalool, dzp for diazepam, n.s. for not
349 specific, and SEM for standard error of the mean.

350

351 Fig. 3: a) Time spent with in the an open arm in an elevated pluse maze test.

352 b) Moving distance within the open arm in an open arm stay

353 Mice on an elevated plus were recorded for 5 minutes and assessed for
354 spontaneous locomotor activity and anxiety states.

355 Data are presented as means \pm SEM from 12 mice.

356 * : $p < 0.05$, vs. saline

357 Abbreviations; sal for saline, lin for linalool, dzp for diazepam, and SEM for
358 standard error of the mean.

359

360 Fig. 4: Mean percentage change in serotonin concentration after treatment
361 versus before treatment.

362 Samples were collected continuously for a total of 180 minutes from 120
363 minutes before to 60 minutes after treatment and measured collectively every
364 10 minutes.

365 Data are presented as means \pm SEM from 12 mice.

366 *: $P < 0.05$ vs. saline **: $P < 0.05$ vs. linalool

367 Abbreviations; sal for saline, lin for linalool, dzp for diazepam, and SEM for
368 standard error of the mean.

369



Fig.1. Elevated Plus Maze Test.
The elevated plus maze consisted of a plastic criss-crossed open arm (50×10 cm), a closed arm ($50 \times 10 \times 40$ cm), and a central square (10×10 cm) with a 60 cm high device.

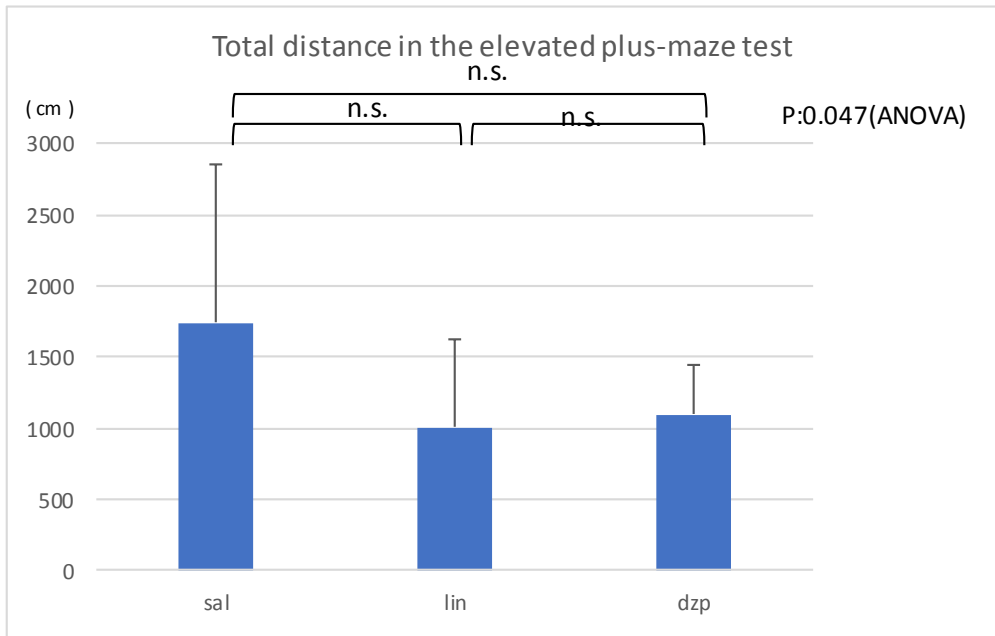
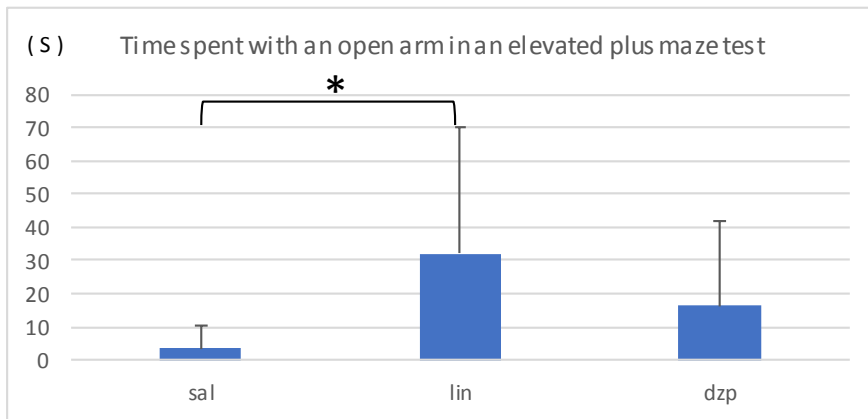


Fig. 2: Total distance in the elevated plus-maze test. Mice on an elevated plus maze were recorded for 5 minutes and assessed for spontaneous locomotor activity and anxiety states. Data are presented as means \pm SEM from 12 mice. Abbreviations; sal for saline, lin for linalool, dzp for diazepam, n.s. for not specific, and SEM for standard error of the mean.

a)



b)

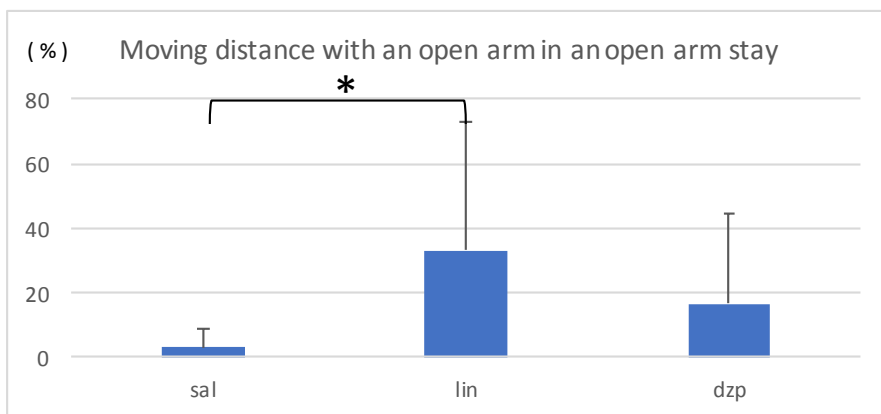


Fig. 3: a) Time spent with in the an open arm in an elevated pluse maze test.
b) Moving distance within the open arm in an open arm stay
Mice on an elevated plus maze were recorded for 5 minutes and assessed for spontaneous locomotor activity and anxiety states.
Date are presented as means \pm SEM from 12 mice.
* : $p < 0.05$, vs. saline
Abbreviations; sal for saline, lin for linalool, and dzp for diazepam.

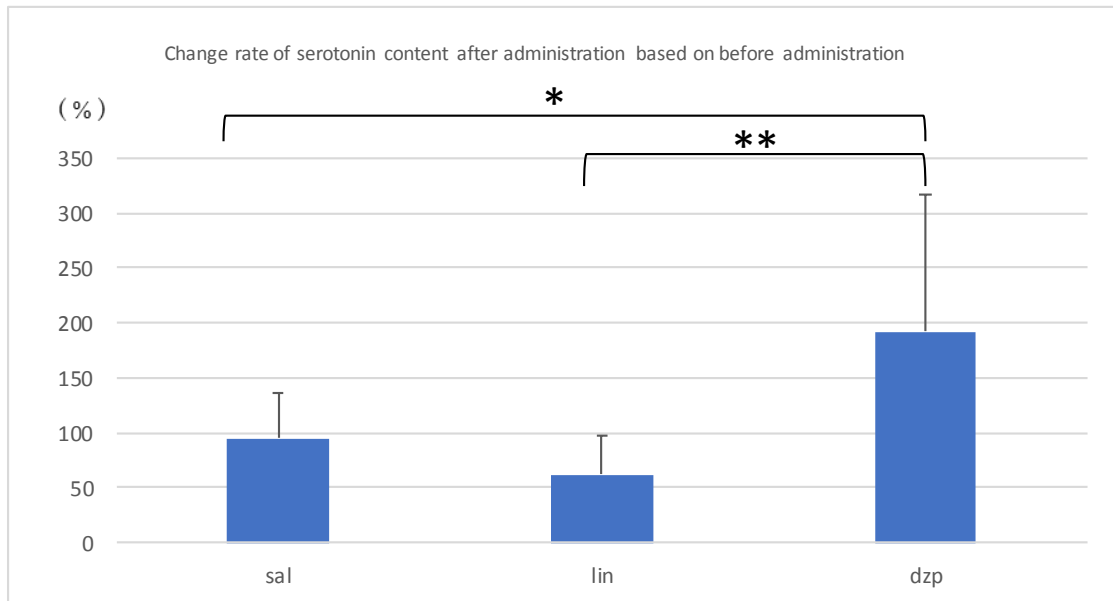


Fig. 4: Mean percentage change in serotonin concentration after treatment versus before treatment.

Samples were collected continuously for a total of 180 minutes from 120 minutes before to 60 minutes after treatment and measured collectively every 10 minutes.

Data are presented as means \pm SEM from 12 mice.

*: $P < 0.05$ vs. saline **: $P < 0.05$ vs. linalool

Abbreviations; sal for saline, lin for linalool, and dzp for diazepam.