

Biofeedback core exercise using hybrid assistive limb for physical frailty patients with or without Parkinson's disease

Naoya Kotani,^{a,b} Takashi Morishita,^{a*} Aya Yatsugi,^{a,b} Shinsuke Fujioka,^c Satoshi Kamada,^b Etsuji Shiota,^b Yoshio Tsuboi,^c and Tooru Inoue^a

^a Department of Neurosurgery, Fukuoka University Faculty of Medicine, Fukuoka, Japan

^b Department of Rehabilitation Medicine, Fukuoka University Hospital, Fukuoka, Japan

^c Department of Neurology, Fukuoka University Faculty of Medicine, Fukuoka, Japan

***Corresponding Author:** Takashi Morishita, M.D., Ph.D.

Department of Neurosurgery, Fukuoka University Faculty of Medicine

Nanakuma 7-45-1, Jonan ward, Fukuoka 814-0180, Japan

Tel.: 81-92-801-1011; Fax: 81-92-865-9901; Email: tmorishita@fukuoka-u.ac.jp (TM)

Abstract

Introduction: Elderly people often exhibit “frailty,” and motor dysfunction occurs. Several studies have reported about the relationship between motor dysfunction and frailty in Parkinson's disease (PD). This study aimed to test whether the core exercise using the hybrid assistive limb lumbar type for care support (HAL-CB02) may improve the motor functions in frailty patients with or without PD and to explore the optimal patient selection from the frailty cohort.

Materials and Methods: We recruited 16 frailty patients (PD=8; non-PD=8). The participants performed core exercise and squats using HAL-CB02 for five sessions a week. Outcome measures were 10-m walking test, step length, timed up and go test, 30-second chair stand test, and visual analog scale. Evaluation was conducted at baseline, post-exercise, and 1- and 3-month follow-ups.

Results: Both PD and non-PD patients showed significant improvement in all evaluation items post-exercise. Moreover, no significant difference was found in the improvement value between the two groups.

Conclusions: Our results suggest that biofeedback exercise with HAL-CB02 is a safe and promising treatment for frailty patients. Motor dysfunction in PD patients may be partly due to physical frailty, and biofeedback exercise with HAL-CB02 is proposed as a treatment option.

Keywords: arthrogenic muscle inhibition, biofeedback, central pattern generator, frailty, hybrid assistive limb, Parkinson's disease

1 **1. Introduction**

2 The proportion of elderly people aged 65 years or older has exceeded 15% in
3 developed countries, and it is expected to exceed 30% in 2050 (1). Physiological
4 performance gradually decreases with aging, and frailty would be a severe burden in this
5 population. Frailty affects activities of daily living (ADL) and quality of life, resulting in
6 frequent falls and walking problems. In addition, frailty is associated with mental and
7 psychological problems, such as cognitive dysfunction and depression (2,3). In recent
8 years, several studies have reported on the relationship between motor dysfunction and
9 frailty in Parkinson's disease (PD) (4–7). PD patients are likely to have frailty, and such
10 patients are more prone to gait and balance problems than normal PD patients (5,6).

11
12 Gait disturbance is a common problem among PD patients, and physical frailty is
13 potentially attributable to the gait problem in PD. Atrophy and disability of erector spinae
14 muscles have been reported to cause gait disturbance (7,8). Trunk muscle activity plays an
15 important role in stabilizing gait. In particular, the strength of the erector spinae muscles is
16 highly correlated with physical activity levels (9). When the trunk leans forward during
17 walking, a decrease in step length and an increase in cadence are observed (10). In addition,
18 the strength of the erector spinae muscles is reduced in the leaning posture, resulting in the
19 reduced walking speed and a wide base of walking (11).

20
21 Chronic muscle disuse in physical frailty is associated with neuromuscular disorders
22 including PD, especially in elderly population. In contrast, resistance training is effective,
23 but these active adaptations could not be achieved with neuromuscular electrical
24 stimulation or traditional rehabilitation efforts alone (6,12); thus, establishment of new
25 treatment methods has been expected.

26
27 In the field of neurorehabilitation, the hybrid assistive limb (HAL; Cyberdyne Inc.,
28 Tsukuba, Japan) has been receiving growing attention. HAL is a robotic exoskeleton
29 designed to facilitate movements and was developed based on the “interactive biofeedback
30 (iBF)” hypothesis (13). Specifically, the movement of the robot is triggered by bioelectric
31 signals (BES) detected by surface electrodes, supporting spontaneous movement of
32 impaired muscles generating sensory feedback. Several studies have demonstrated the
33 efficacy and feasibility of HAL and single-joint HAL for select neurological disorders

34 (13,14). In this study, we used a model called HAL lumbar type for care support (HAL-
35 CB02).

36

37 HAL-CB02 is designed to mitigate risks of back pain by reducing the stress that will
38 be applied on the back. HAL-CB02 consists of an exoskeleton frame and a power unit. The
39 exoskeleton frame is composed of molds and belts for attachment to the lower back and the
40 thigh and incorporates a three-axis accelerometer for measuring the absolute angle of the
41 torso of the wearer. The power unit is composed of angle sensors and actuators of both hip
42 joints. BES is detected from the surface electrode affixed to the erector spinae muscles;
43 when the hip joints shift from flexion to extension, the actuator generates torque in
44 accordance with the activity of the erector spinae muscles. The generated torque is
45 transmitted to the wearer through the exoskeleton frame and supports standing, lifting
46 operation, etc. By adjusting the assistance level, HAL-CB02 provides support according to
47 the difficulty level of the movement, and the burden on the lumbar is reduced. HAL-CB02
48 is lightweight, as it weighs 3.1 kg including its battery, and it is easy to assemble and
49 operate. An overview of HAL-CB02 is shown in Figure 1.

50

51 In a study using HAL for lumbar support (prototype of HAL-CB02), stress on the
52 lumbar intervertebral disc during weight lifting was reduced (15). In addition, when HAL-
53 CB02 was worn for lifting movements and snow shoveling, lumbar fatigue was
54 significantly reduced and working efficiency was significantly improved (16,17). In this
55 context, we hypothesized that exercise with the assistance of HAL-CB02 would repetitive
56 movements of core muscles under a reduced load, and thus improve motor dysfunction
57 associated with walking ability in frailty patients. We also considered that frailty patients
58 would have muscle disuse and loss of muscle coordination in common regardless of co-
59 existence of neurodegenerative diseases, and therefore robot-assisted core exercise regimen
60 may be applied for patients with advanced PD that is often complicated with frailty. To
61 address this hypothesis, we considered comparing the response to the robot-assisted
62 rehabilitation between frailty patients with and without PD is important to shed light on the
63 relationship between frailty and PD. In this study, we aimed to test whether the core
64 exercise and squats using the HAL-CB02 may improve the motor functions of lower limb
65 in frailty patients and to explore the optimal patient selection from the frailty cohort.

66

67 **2. Materials and Methods**

68 **2.1 Study design**

69 We included elderly frailty patients with or without PD who experienced walking
70 disability from the period between June 2017 and September 2019. In this study, frailty was
71 diagnosed based on the definition of Fried et al. (Table 1). Frailty is diagnosed when three
72 or more conditions in the criteria are met, while pre-frailty meets one or two conditions. In
73 this study, we made diagnosis of the walking disability based on the self-report and the 10-
74 m walking test (10MWT) results showing approximately 10 seconds or longer. For non-PD
75 cohort, we included patients with frailty associated with lumbar spine problems such as
76 lumbar canal stenosis, and compression fracture. For PD cohort, we included advanced PD
77 patients at Hoehn and Yahr (H&Y) Stage III and IV in the on medication state. All PD
78 patients had been diagnosed and followed by movement disorders specialists (S.F. and
79 Y.T). We excluded patients with severe dementia, acute bone fracture, spine problems
80 requiring surgical treatment, severe cardiopulmonary diseases, and physique that the robot
81 does not fit. We also excluded PD patients at H&Y stage V and with severe dyskinesia.

82

83 This prospective study was approved by our institutional review board, and informed
84 consent was obtained from study subjects. Since this is the first report to test the feasibility
85 of rehabilitation program using the HAL-CB02 for frailty cohorts, we included only limited
86 numbers of patients.

87

88 All patients performed five sessions of exercise using HAL-CB02. Exercise for PD
89 patients was performed with “on” medication. The exercise time was 20-30 min per
90 session, and participants took a rest as needed. As core exercises, pelvic tilt and forward
91 reach were performed 30 times each. Exercises involved awareness of the anteversion of
92 the pelvis at the sitting position and stimulation of the erector spinae muscles. In the squat
93 method, the feet were spread apart according to the width of the shoulder, and the angle
94 from the heel to the feet was approximately 30°. Then, the participants slowly bend their
95 knee so that the buttocks protrude backwards, being careful that the knees are within the toe
96 level. The knee flexion angle is targeted for a half squat (90°), and if with knee pain,
97 quarter squats (45°) are allowed. Then, the participants slowly extend their knees and return
98 to the standing position. The assist level of HAL-CB02 was adjusted according to the
99 physical state of the participants. We allowed participants with low physical function to use

100 handrails. The number of squats was not specified, and participants were allowed to
101 perform squats until exhaustion. The states of exercises are shown in Figure 2.

102
103 To evaluate the efficacy of the exercise, we measured physical functions using the
104 10MWT, step length, timed up and go (TUG) test, 30-second chair stand test (CST-30), at
105 four time points: baseline, following five exercise sessions, 1-month follow-up, and 3-
106 month follow-up. During gait evaluation, physical therapists support the patients to prevent
107 falls as needed. In CST-30, the participants performed sit-to-stand movements from a chair
108 completed with arms crossed over the chest and as many times as possible within 30
109 seconds. We measured pain levels using visual analog scale (VAS) and assess whether pain
110 does not occur with exercise. Participants performed core exercises and squats using HAL-
111 CB02 for five sessions within 1 week. All PD patients were also evaluated “on”
112 medication. Adverse events associated with robot rehabilitation were also recorded such as
113 skin problems, exacerbation of pain, and muscle damage.

114 115 **2.2. Statistical analysis**

116 Scores at baseline, immediately after HAL-assisted exercise, and 1- and 3-month
117 follow-up were compared using Friedman’s test and Wilcoxon signed-rank test for intra-
118 group comparisons. For inter-group comparisons, Mann-Whitney U test was used to
119 compare the improvement rate from baseline. Values are presented as mean \pm standard
120 deviation. SPSS 24.0 (IBM Corp., Armonk, NY, USA) was used for statistical analysis. P-
121 value < 0.05 was considered significant. We also performed Friedman’s test to test the null
122 hypothesis of no change in the number of squats during the training period.

123 124 **3. Results**

125 We recruited 16 frailty patients including eight non-PD and eight PD patients.
126 Baseline demographics are summarized in Tables 2 and 3. No significant differences in any
127 demographic features were found between the two groups. All non-PD patients had a
128 history of some chronic spine problems inclusive of lumbar canal stenosis (n=5), vertebral
129 compression fracture (n=2), and spina bifida (n=1). Peak dose dyskinesia potentially
130 affecting robot-assisted exercise program was not observed in all PD participants. In the PD
131 group, 1- and 3-month follow-up data could only be evaluated in 5 and 4 patients,
132 respectively, due to accessibility to the follow-up clinic. All participants completed the

133 HAL-assisted exercise successfully, without any adverse events, and the squat frequency
134 increased significantly with each session ($p < 0.001$) (Figure 3).

135

136 Physical evaluations showed significant improvements. The measured median and
137 interquartile range values (p-values compared with baseline) from the evaluation items of
138 the non-PD group at baseline, post HAL, and 1- and 3- month follow-up were as follows:
139 10MWT values were 23.3 [13.5, 46.3] seconds at baseline, 15.9 [10.8, 30.2] seconds ($p =$
140 0.012) post HAL, 16.3 [10.2, 25.3] seconds ($p = 0.012$) at 1-month follow-up, and 18.5
141 [10.3, 34.6] seconds ($p = 0.012$) at 3-month follow-up. Step length values were 0.38 [0.19,
142 0.43] m at baseline, 0.43 [0.26, 0.49] m ($p = 0.012$) post HAL, 0.46 [0.32, 0.53] m ($p =$
143 0.012) at 1-month follow-up, and 0.41 [0.24, 0.49] m ($p = 0.012$) at 3-month follow-up.
144 TUG values were 30.1 [17.5, 47.0] seconds at baseline, 18.3 [11.3, 28.8] seconds ($p =$
145 0.012) post HAL, 20.5 [11.0, 31.6] seconds ($p = 0.012$) at 1-month follow-up, and 27.1
146 [13.1, 42.6] seconds ($p = 0.093$) at 3-month follow-up. CST-30 values were 4.5 [0.0, 7.3]
147 times at baseline, 6.0 [3.8, 8.3] times ($p = 0.017$) post HAL, 6.0 [3.8, 9.5] times ($p = 0.011$)
148 at 1-month follow-up, and 7.5 [3.8, 8.8] times ($p = 0.024$) at 3-month follow-up (Figure 4
149 and Table 4). In addition, three participants with frailty at baseline improved to pre-frailty
150 at 1-month follow-up, and two of them were able to keep up even at 3-month follow-up.
151 Also, two of the five participants with pre-frailty at baseline were “robust” at 1-month
152 follow-up, and they maintained this state even at 3-month follow-up.

153

154 The measured median and interquartile range values (p-values compared with
155 baseline) from the evaluation items of the PD group at baseline, post HAL, and 1- and 3-
156 month follow-up were as follows: 10MWT values were 15.3 [10.6, 26.7] seconds at
157 baseline, 9.6 [8.5, 13.3] seconds ($p < 0.001$) post HAL, 12.0 [9.4, 13.8] seconds ($p = 0.001$)
158 at 1-month follow-up, and 10.4 [10.1, 10.9] seconds ($p = 0.006$) at 3-month follow-up. Step
159 length values were 0.37 [0.28, 0.47] m at baseline, 0.51 [0.42, 0.60] m ($p < 0.001$) post
160 HAL, 0.42 [0.40, 0.48] m ($p = 0.001$) at 1-month follow-up, and 0.52 [0.47, 0.57] m ($p =$
161 0.003) at 3-month follow-up. TUG values were 17.7 [12.9, 22.7] seconds at baseline, 14.0
162 [10.1, 20.2] seconds ($p < 0.001$) post HAL, 14.6 [11.5, 17.8] seconds ($p = 0.002$) at 1-
163 month follow-up, and 11.7 [11.5, 18.3] seconds ($p = 0.136$) at 3-month follow-up. CST-30
164 values were 4.0 [2.3, 4.3] times at baseline, 6.5 [5.8, 8.3] times ($p = 0.001$) post HAL, 7.0
165 [7.0, 9.0] times ($p = 0.001$) at 1-month follow-up, and 9.0 [6.8, 11.8] times ($p = 0.006$) at 3-

166 month follow-up (Figure 5 and Table 4). In addition, two participants with frailty at
167 baseline improved to pre-frailty at 1-month follow-up, and one of them was able to keep up
168 even at 3-month follow-up. Also, two of the six participants with pre-frailty at baseline
169 were “robust” at 1-month follow-up, and one of them maintained this state even at 3-month
170 follow-up.

171

172 Moreover, the improvement value from the baseline of each evaluation item in the
173 non-PD group and PD group were compared. In all evaluation items, significant differences
174 between the two groups at all time points were not observed (Figure 6).

175

176 Pain levels were reduced with HAL-assisted exercise. All patients in the non-PD
177 group had low back pain, but post HAL, the pain was significantly reduced and the effect
178 persisted even after 1-month follow-up; however, at 3-month follow-up, statistically
179 significant difference was not observed, even if the measured value was higher than the
180 baseline. In the PD group, no patients complained of low back pain, and pain related to
181 HAL-assisted exercise was not reported. In the non-PD group, measured median and
182 interquartile range values (p-values compared with baseline) of VAS score at rest and in
183 motion at baseline, post HAL, 1- and 3- month follow-up were as follows: VAS scores at
184 rest were 35.5 [23.3, 48.5] at baseline, 8.0 [3.8, 16.3] ($p = 0.036$) post HAL, 10.5 [1.5,
185 14.0] ($p = 0.012$) at 1-month follow-up, and 23.0 [17.8, 28.5] ($p = 0.233$) at 3-month
186 follow-up. VAS scores in motion were 49.0 [19.5, 55.3] at baseline, 9.5 [4.5, 18.5] ($p =$
187 0.017) post HAL, 11.0 [5.8, 17.8] ($p = 0.028$) at 1-month follow-up, and 24.0 [10.8, 31.8]
188 ($p = 0.176$) at 3-month follow-up (Figure 4 and Table 4).

189

190 **4. Discussion**

191 To the best of our knowledge, this study is the first to use HAL-CB02 in frailty and
192 PD patients. HAL-CB02 may improve motor function. This result has the potential to
193 improve frailty from a long-term perspective and clarified the feasibility of HAL-assisted
194 exercise. One advantage of the robot rehabilitation is that the robot enables repeated
195 performance of the same movements that are usually difficult to assist manually. We
196 speculate that robot rehabilitation improves motor coordination by controlling axial
197 muscles.

198

199 There are several reports of robot-assisted gait training (RAGT) for PD. Cappecci et
200 al. reported that RAGT significantly improved endurance, gait capacity, motor symptoms,
201 quality of life, and freezing gait (18). In addition, Alwardat et al.'s meta-analysis reported
202 that RAGT showed better outcomes than conventional interventions in some motor aspects
203 of PD (19). Robot-assisted rehabilitation enables standardized treatment regardless of the
204 therapists' experience, and repetitive exercise without patient's fatigue as shown in our
205 results. Most of the reported RAGT are based on gait assist robots, but we anticipate that
206 HAL-CB02, as a treatment with core exercise and squats, can be performed more easily and
207 safely. Concerning the similar improvements in two cohorts in our study, there are several
208 explanations.

209

210 In this study, both PD and non-PD patients showed significantly improved motor
211 function. In addition, since no significant difference was found between these two groups in
212 terms of the improvement rate, it is expected that patients with physical frailty may have
213 the same motor dysfunction regardless of the presence or absence of PD. From the standing
214 point, we consider that the disturbance of the central pattern generator (CPG) in the spinal
215 cord exists in common among frailty patients. Repetitive sensory feedback from HAL
216 training may activate the central nervous system (CNS) and possibly induce neuroplasticity
217 in the spinal cord level to facilitate functional recovery in the disused neuronal networks
218 (20) (Figure 7).

219

220 Although there may be common factors for improvement among non-PD and PD
221 cohorts, another factor may contribute to the improvement differently. We speculate that
222 arthrogenic muscle inhibition (AMI) may be also related as a cause of failure of
223 conventional rehabilitation of frailty patients, especially in non-PD cohort with back pain.
224 AMI is defined as the suppression of motor neurons due to trauma and the associated pain,
225 resulting in decreasing muscle function. It is thought that abnormality of proprioceptive
226 receptors due to swelling, inflammation, pain, and joint laxity causes AMI (21,22). AMI is
227 a reflexive response that acts as a protective mechanism to prevent further damage to the
228 joint (23). AMI is the result of many different joint receptor activities. It acts on inhibitory
229 interneurons that form synapses in the motor neuron pool of articular muscle tissue (24).
230 Rice et al. proposed three spinal reflex pathways related to AMI: group I nonreciprocal (Ib)
231 inhibitory pathway, flexion reflex, and gamma (γ)-loop. When abnormality occurs in the

232 peripheral joints and changes the afferent discharge from proprioceptive receptors, these
233 spinal reflex pathways are impaired (21). Furthermore, joint afferents are susceptible to
234 changes in discharge (25,26), and the spinal descending pathway may strongly influence
235 interneurons and motor neurons at the spinal level (27–29). Several studies have described
236 the relationship between spinal reflex and AMI (21,30), and proprioceptive sensory
237 feedback is related to reflex inhibition (31,32). Although AMI was reported to be related to
238 lower limb functions in many cases, Russo et al. reported that AMI of paravertebral
239 muscles was easily affected by damage to the lumbar region (33). As described above, it is
240 speculated that physical frailty patients easily develop neuromuscular disorders and are
241 prone to dysfunction of the erector spinae muscles, and they are likely to have AMI.

242

243 An effective treatment for AMI includes biofeedback therapy (34,35). Most of the
244 reports are based on electromyographic biofeedback, which measures the electrical activity
245 of the muscle from the electrodes attached to the skin surface and feeds back the magnitude
246 of the muscle activity visually and auditorily (36–41). Similarly, in this study, we consider
247 that biofeedback with HAL-CB02 had improved AMI. We considered that HAL-assisted
248 exercise stimulates proper proprioceptive receptors by repeatedly feeding back correct
249 motion at low load and suppresses abnormal spinal reflexes. Actually, our group has shown
250 the possibility of AMI improvement by HAL-assisted exercise in patients who underwent
251 total knee arthroplasty-(42,43). Similarly, our non-PD patients showed significant pain
252 reduction following HAL-assisted exercise, and this may partly contribute to the
253 improvement in the motor functions.

254

255 As limitations of this study, we did not evaluate ADL, quality of life, and objective
256 measures such as electromyograms, so we could not identify the clinical impact and cause
257 of improvement. Since the subjects with only exercise without HAL-CB02 were not
258 recruited as control, we could not measure the efficacy of the robot-assisted exercise.
259 Furthermore, the sample size was small, and several patients in the PD group were unable
260 to complete follow-up evaluation. Future investigation on these issues with increased
261 number of cases are necessary to confirm our findings.

262

263 **Conclusions**

264 Our results suggest that biofeedback therapy with HAL-CB02 may be a safe and

265 promising treatment for patients with physical frailty even complicated with spine
266 problems. In addition, motor dysfunction in PD patients may be partly due to physical
267 frailty, and biofeedback therapy with HAL-CB02 is proposed as a treatment option.
268 Immediate and sustained effects on patients who were refractory to conventional
269 rehabilitation could provide evidence that changes in input to specific receptors by HAL-
270 CB02 contribute to activation of disused neuronal networks and amelioration of AMI.
271 Further long-term follow up studies with increased number and control cohort of
272 conventional rehabilitation are warranted.

273

274 **Funding details**

275 This study was supported by the Fukuoka Medical Research award.

276

277 **Conflict of Interest Statement**

278 The authors declare that the research was conducted in the absence of any
279 commercial or financial relationships that could be construed as a potential conflict of
280 interest.

281

282 **Acknowledgement**

283 This study was supported by the Fukuoka Medical Research award.

284

285 **Author Contributions**

286 Concept and design: NK, TM, TI

287 Acquisition of subjects: TM, SF, YT

288 Acquisition of data: NK, AY

289 Interpretation of data: NK, TM, SK, ES

290 Preparation of manuscript: NK, TM, SF, SK, ES, YT, TI

291

292 **References**

- 293 1. He W., Goodkind D., Kowal P. An Aging World : 2015 International Population
294 Reports. *Aging (Albany NY)* (2016)165. doi:P95/09-1
- 295 2. Fried LP, Tangen CM, Walston J, Newman AB, Hirsch C, Gottdiener J, Seeman T,
296 Tracy R, Kop WJ, Burke G, et al. Frailty in older adults: Evidence for a phenotype. *J*
297 *Gerontol Med Sci Am* (2001) **56**:146–157. Available at:
298 <https://watermark.silverchair.com/M146.pdf?token=AQECAHi208BE49Ooan9khh>
299 [W_Ercy7Dm3ZL_9Cf3qfKAac485ysgAAAb0wggG5BgkqhkiG9w0BBwagggGqMII](https://watermark.silverchair.com/M146.pdf?token=AQECAHi208BE49Ooan9khh)
300 [BpgIBADCCA8GCSqGSib3DQEHATAeBglghkgBZQMEAS4wEQQM0d6ztzFl](https://watermark.silverchair.com/M146.pdf?token=AQECAHi208BE49Ooan9khh)
301 [DCcMsMPDAgEQgIIBcDrCdwpr3lzv0DO9dhNkCXEi93oXnvoxSxaV0vJE5Ru7c](https://watermark.silverchair.com/M146.pdf?token=AQECAHi208BE49Ooan9khh)
302 [BLSmf](https://watermark.silverchair.com/M146.pdf?token=AQECAHi208BE49Ooan9khh) [Accessed June 14, 2018]
- 303 3. Clegg A, Young J, Iliffe S, Rikkert MO, Rockwood K. Frailty in elderly people. in
304 *The Lancet*, 752–762. doi:10.1016/S0140-6736(12)62167-9
- 305 4. Smith N, Brennan L, Gaunt DM, Ben-Shlomo Y, Henderson E. Frailty in
306 Parkinson’s Disease: A Systematic Review. *J Parkinsons Dis* (2019) **9**:517–524.
307 doi:10.3233/jpd-191604
- 308 5. Peball M, Mahlknecht P, Werkmann M, Marini K, Murr F, Herzmann H, Stockner
309 H, De Marzi R, Heim B, Djamshidian A, et al. Prevalence and associated factors of
310 sarcopenia and frailty in Parkinson’s disease: A cross-sectional study. *Gerontology*
311 (2019) **65**:216–228. doi:10.1159/000492572
- 312 6. Müller MLTM, Marusic U, van Emde Boas M, Weiss D, Bohnen NI. Treatment
313 options for postural instability and gait difficulties in Parkinson’s disease. *Expert*
314 *Rev Neurother* (2019) **0**:1–23. doi:10.1080/14737175.2019.1656067
- 315 7. Chiang C-K, Chen H-L, Lin C-H, Chen M-H, Chiang P-L, Chen Y-S, Lin W-C.
316 Altered Body Composition of Psoas and Thigh Muscles in Relation to Frailty and
317 Severity of Parkinson’s Disease. *Int J Environ Res Public Health* (2019) **16**:3667.
318 doi:10.3390/ijerph16193667
- 319 8. Crawford R, Gizzi L, Dieterich A, Mhuiris ÁN, Falla D. Age-related changes in
320 trunk muscle activity and spinal and lower limb kinematics during gait. *PLoS One*
321 (2018) **13**:1–15. doi:10.1371/journal.pone.0206514
- 322 9. Sinaki M, Offord KP. Physical activity in postmenopausal women: effect on back
323 muscle strength and bone mineral density of the spine. *Arch Phys Med Rehabil*
324 (1988) **69**:277–80. Available at: <http://www.ncbi.nlm.nih.gov/pubmed/3258510>

- 325 [Accessed September 18, 2019]
- 326 10. Saha D, Gard S, Fatone S. The effect of trunk flexion on able-bodied gait. *Gait*
327 *Posture* (2008) **27**:653–660. doi:10.1016/j.gaitpost.2007.08.009
- 328 11. Balzini L, Vannucchi L, Benvenuti F, Benucci M, Monni M, Cappozzo A, Stanhope
329 SJ. Clinical characteristics of flexed posture in elderly women. *J Am Geriatr Soc*
330 (2003) **51**:1419–1426. doi:10.1046/j.1532-5415.2003.51460.x
- 331 12. Suetta C. Plasticity and function of human skeletal muscle in relation to disuse and
332 rehabilitation: Influence of ageing and surgery. *Dan Med J* (2017) **64**:1–28.
- 333 13. Morishita T, Inoue T. Interactive bio-feedback therapy using hybrid assistive limbs
334 for motor recovery after stroke: current practice and future perspectives. *Neurol Med*
335 *Chir (Tokyo)* (2016) **56**:605–612. doi:10.2176/nmc.st.2016-0094
- 336 14. Fukuda H, Morishita T, Ogata T, Saita K, Hyakutake K, Watanabe J, Shiota E, Inoue
337 T. Tailor-made rehabilitation approach using multiple types of hybrid assistive limb
338 robots for acute stroke patients: A pilot study. *Assist Technol* (2016) **28**:53–56.
339 doi:10.1080/10400435.2015.1080768
- 340 15. Hara H, Sankai Y. Evaluation of HAL for lumbar support by 3D skeletal model.
341 *Trans Japanese Soc Med Biol Eng* (2012) **50**:111–116. Available at:
342 [http://www.scopus.com/inward/record.url?eid=2-s2.0-](http://www.scopus.com/inward/record.url?eid=2-s2.0-84864108101&partnerID=tZOtx3y1)
343 [84864108101&partnerID=tZOtx3y1](http://www.scopus.com/inward/record.url?eid=2-s2.0-84864108101&partnerID=tZOtx3y1)
- 344 16. Miura K, Kadone H, Koda M, Abe T, Kumagai H, Nagashima K, Mataka K, Fujii K,
345 Noguchi H, Funayama T, et al. The hybrid assistive limb (HAL) for Care Support
346 successfully reduced lumbar load in repetitive lifting movements. *J Clin Neurosci*
347 (2018) **53**:276–279. doi:10.1016/j.jocn.2018.04.057
- 348 17. Miura K, Kadone H, Koda M, Abe T, Endo H, Murakami H, Doita M, Kumagai H,
349 Nagashima K, Fujii K, et al. The hybrid assisted limb (HAL) for Care Support, a
350 motion assisting robot providing exoskeletal lumbar support, can potentially reduce
351 lumbar load in repetitive snow-shoveling movements. *J Clin Neurosci* (2017) **49**:83–
352 86. doi:10.1016/j.jocn.2017.11.020
- 353 18. Capecci M, Pournajaf S, Galafate D, Sale P, Le Pera D, Goffredo M, De Pandis MF,
354 Andrenelli E, Pennacchioni M, Ceravolo MG, et al. Clinical effects of robot-assisted
355 gait training and treadmill training for Parkinson’s disease. A randomized controlled
356 trial. *Ann Phys Rehabil Med* (2019) **62**:303–312. doi:10.1016/j.rehab.2019.06.016
- 357 19. Alwardat M, Etoom M, Al Dajah S, Schirinzi T, Di Lazzaro G, Salimei PS, Mercuri

- 358 NB, Pisani A. Effectiveness of robot-assisted gait training on motor impairments in
359 people with Parkinson's disease: A systematic review and meta-analysis. *Int J*
360 *Rehabil Res* (2018) **41**:287–296. doi:10.1097/MRR.0000000000000312
- 361 20. Yatsugi A, Morishita T, Fukuda H, Kotani N, Yagi K, Abe H, Shiota E, Inoue T.
362 Feasibility of Neurorehabilitation Using a Hybrid Assistive Limb for Patients Who
363 Underwent Spine Surgery. *Appl Bionics Biomech* (2018) **2018**:1–11.
364 doi:10.1155/2018/7435746
- 365 21. Rice DA, McNair PJ. Quadriceps Arthrogenic Muscle Inhibition: Neural
366 Mechanisms and Treatment Perspectives. *Semin Arthritis Rheum* (2010) **40**:250–
367 266. doi:10.1016/j.semarthrit.2009.10.001
- 368 22. Palmieri RM, Ingersoll CD, Hoffman MA, Cordova ML, Porter DA, Edwards JE,
369 Babington JP, Krause BA, Stone MB. Arthrogenic muscle response to a simulated
370 ankle joint effusion. *Br J Sports Med* (2004) **38**:26–30.
371 doi:10.1136/bjism.2002.001677
- 372 23. Young A. Current issues in arthrogenous inhibition. *Ann Rheum Dis* (1993) **52**:829–
373 834. doi:10.1136/ard.52.11.829
- 374 24. Hopkins JT, Ingersoll CD. Arthrogenic muscle inhibition: A limiting factor in joint
375 rehabilitation. *J Sport Rehabil* (2000) **9**:135–159. doi:10.1123/jsr.9.2.135
- 376 25. Baumeister J, Reinecke K, Weiss M. Changed cortical activity after anterior cruciate
377 ligament reconstruction in a joint position paradigm: An EEG study. *Scand J Med*
378 *Sci Sport* (2008) **18**:473–484. doi:10.1111/j.1600-0838.2007.00702.x
- 379 26. Pitman MI, Nainzadeh N, Menche D, Gasalberti R, Eun Kyoo S. The intraoperative
380 evaluation of the neurosensory function of the anterior cruciate ligament in humans
381 using somatosensory evoked potentials. *Arthrosc J Arthrosc Relat Surg* (1992)
382 **8**:442–447. doi:10.1016/0749-8063(92)90005-V
- 383 27. Schomburg ED. Spinal sensorimotor systems and their supraspinal control. *Neurosci*
384 *Res* (1990) **7**:265–340. doi:10.1016/0168-0102(90)90008-3
- 385 28. Jankowska E. Interneuronal relay in spinal pathways from proprioceptors. *Prog*
386 *Neurobiol* (1992) **38**:335–378. doi:10.1016/0301-0082(92)90024-9
- 387 29. Millan MJ. Descending control of pain. *Prog Neurobiol* (2002) **66**:355–474.
388 doi:10.1016/S0301-0082(02)00009-6
- 389 30. Hopkins JT, Ingersoll CD, Edwards J, Klootwyk TE. Cryotherapy and
390 Transcutaneous Electric Neuromuscular Stimulation Decrease Arthrogenic Muscle

- 391 Inhibition of the Vastus Medialis After Knee Joint Effusion. *J Athl Train* (2001)
392 **2537**:25–31. Available at:
393 https://www.ncbi.nlm.nih.gov/pmc/articles/PMC164304/pdf/attr_37_01_0025.pdf
394 [Accessed June 5, 2018]
- 395 31. Brumagne S, Lysens R, Swinnen S, Verschueren S. Effect of paraspinal muscle
396 vibration on position sense of the lumbosacral spine. *Spine (Phila Pa 1976)* (1999)
397 **24**:1328–1331. doi:10.1097/00007632-199907010-00010
- 398 32. Le Pera D, Graven-Nielsen T, Valeriani M, Oliviero A, Di Lazzaro V, Tonali PA,
399 Arendt-Nielsen L. Inhibition of motor system excitability at cortical and spinal level
400 by tonic muscle pain. *Clin Neurophysiol* (2001) **112**:1633–1641. doi:10.1016/S1388-
401 2457(01)00631-9
- 402 33. Russo M, Deckers K, Eldabe S, Kiesel K, Gilligan C, Vieceli J, Crosby P. Muscle
403 Control and Non-specific Chronic Low Back Pain. *Neuromodulation* (2018) **21**:1–9.
404 doi:10.1111/ner.12738
- 405 34. Pietrosimone B, McLeod MM, Florea D, Gribble PA, Tevald MA. Immediate
406 increases in quadriceps corticomotor excitability during an electromyography
407 biofeedback intervention. *J Electromyogr Kinesiol* (2015) **25**:316–322.
408 doi:10.1016/j.jelekin.2014.11.007
- 409 35. Gabler CM, Kitzman PH, Mattacola CG. Targeting quadriceps inhibition with
410 electromyographic biofeedback: A neuroplastic approach. *Crit Rev Biomed Eng*
411 (2013) **41**:125–135. doi:10.1615/CritRevBiomedEng.2013008373
- 412 36. Campenella B, Mattacola CG, Kimura IF. Effect of visual feedback and verbal
413 encouragement on concentric quadriceps and hamstrings peak torque of males and
414 females. *Isokinet Exerc Sci* (2000) **8**:1–6.
- 415 37. Croce R V. The effects of EMG biofeedback on strength acquisition. *Biofeedback*
416 *Self Regul* (1986) **11**:299–310. doi:10.1007/BF01000166
- 417 38. Lucca JA, Recchiuti SJ. Effect of Electromyographic Biofeedback on an Isometric
418 Strengthening Program. *Phys Ther* (1983) **63**:200–203. doi:10.1093/ptj/63.2.200
- 419 39. Kimura IF, Gulick DT, Gasiewski E. Effect of visual feedback on concentric peak
420 torque production during knee extension and flexion exercise in males and females.
421 *Isokinet Exerc Sci* (1997) **6**:209–214. doi:10.3233/IES-1997-6403
- 422 40. Davlin CD, Holcomb WR, Guadagnoli MA. The effect of hip position and
423 electromyographic biofeedback training on the vastus medialis oblique: vastus

- 424 lateralis ratio. *J Athl Train* (1999) **34**:342–6. Available at:
425 <http://www.ncbi.nlm.nih.gov/pubmed/16558584> [Accessed September 25, 2019]
426 41. O’Sullivan A, O’Sullivan K. The effect of combined visual feedback and verbal
427 encouragement on isokinetic concentric performance in healthy females. *Isokinet*
428 *Exerc Sci* (2008) **16**:47–53. doi:10.3233/IES-2008-0295
429 42. Goto K, Morishita T, Kamada S, Saita K, Fukuda H, Shiota E, Sankai Y, Inoue T.
430 Feasibility of rehabilitation using the single-joint hybrid assistive limb to facilitate
431 early recovery following total knee arthroplasty: A pilot study. *Assist Technol* (2017)
432 **29**:197–201. doi:10.1080/10400435.2016.1219883
433 43. Kotani N, Morishita T, Saita K, Kamada S, Maeyama A, Abe H, Yamamoto T,
434 Shiota E, Inoue T. Feasibility of supplemental robot-assisted knee flexion exercise
435 following total knee arthroplasty. *J Back Musculoskelet Rehabil* (2019) **pre-press**:1–
436 9. doi:10.3233/BMR-181482
437
438

439 **Figure legends**

440 **Figure 1. Overview of HAL-CB02.** (A) Overall picture of HAL-CB02. (B) The location of
441 electrode detecting BES from the erector spinae muscles (dual white code), and the
442 reference electrode is at the side (single green code). (C, D) Back and side views of the
443 HAL-CB02 when fully attached.

444 BES = bioelectric signals

445

446 **Figure 2. HAL-assisted exercise.** (A, B) In the core exercise, patients were instructed to
447 repeat bend over (B) and upright (A) positions the upper body in a sitting position with a pole
448 held by extended arms. (C) Squat exercise with the HAL.

449 He is a staff of our hospital, and written informed consent was obtained for publication of
450 this study and accompanying images.

451 HAL = hybrid assistive limb

452

453 **Figure 3. Transition graph showing numbers of squat at each session.**

454 HAL = hybrid assistive limb; N = non-Parkinson's disease; P = Parkinson's disease

455

456 **Figure 4. Box plots depicting outcome measures in the non-PD group at baseline, post
457 HAL, 1- and 3-month follow-ups.**

458 FU = follow-up; HAL = hybrid assistive limb; PD = Parkinson's disease

459

460 **Figure 5. Box plots depicting outcome measures in the PD group at baseline, post
461 HAL, 1- and 3-month follow-ups.**

462 FU = follow-up; HAL = hybrid assistive limb; PD = Parkinson's disease

463

464 **Figure 6. Box plots of inter-group comparison of improvement rates from baseline for
465 non-PD and PD groups.**

466 FU = follow-up; HAL = hybrid assistive limb; PD = Parkinson's disease

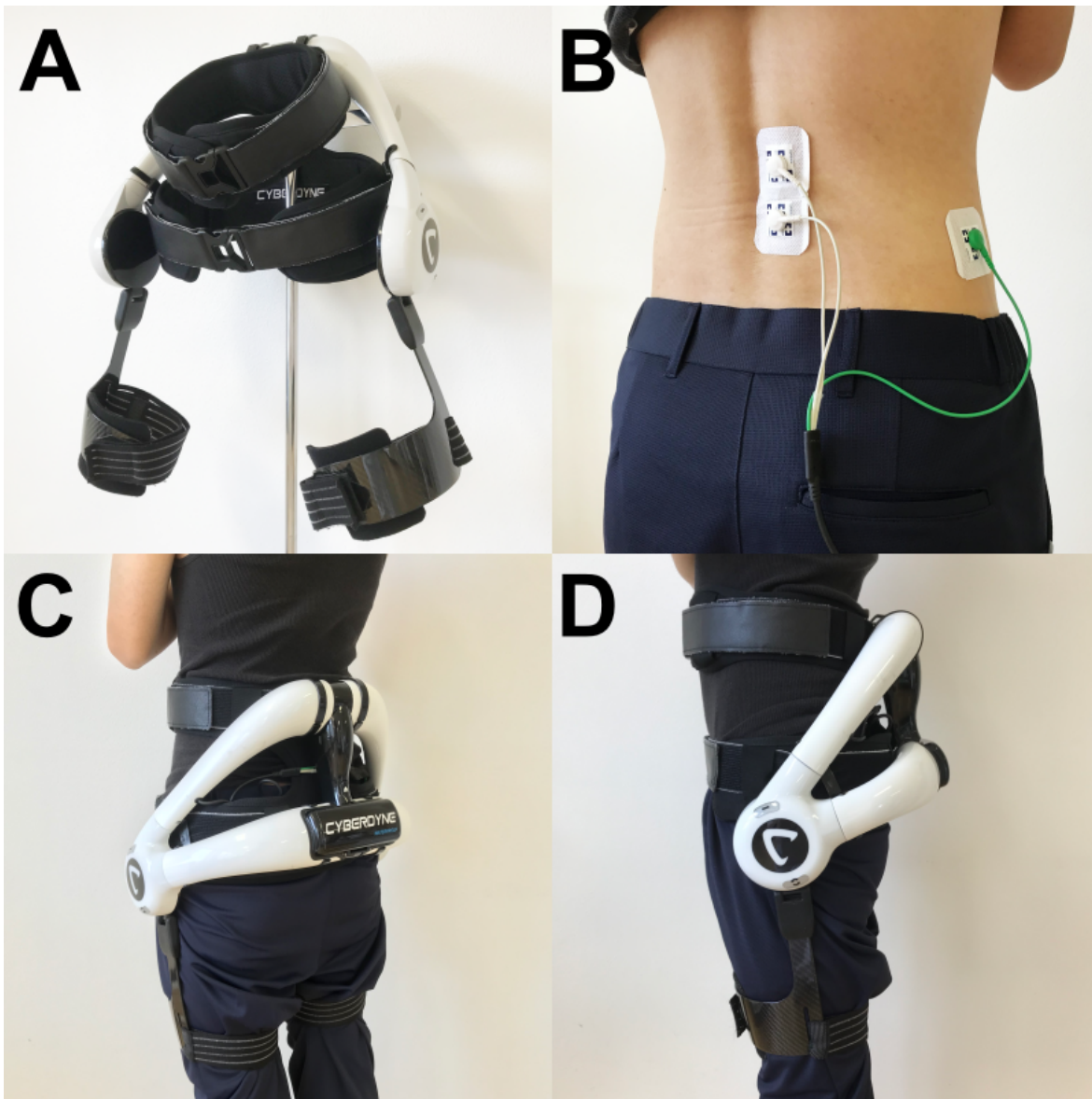
467

468 **Figure 7. Central nervous system activation by sensory feedback from hybrid assistive
469 limb-assisted training.**

470 (A) Central nervous system (CNS) lesion resulted in gait disability. (B) The hybrid assistive
471 limb (HAL) assisted core function, and sensory input was sent back to the CNS levels to

472 activate the brain and the central pattern generator in the spinal cord. (C) In turn, the
473 damaged CNS generated improved descending signals to the muscle for better locomotion.
474
475

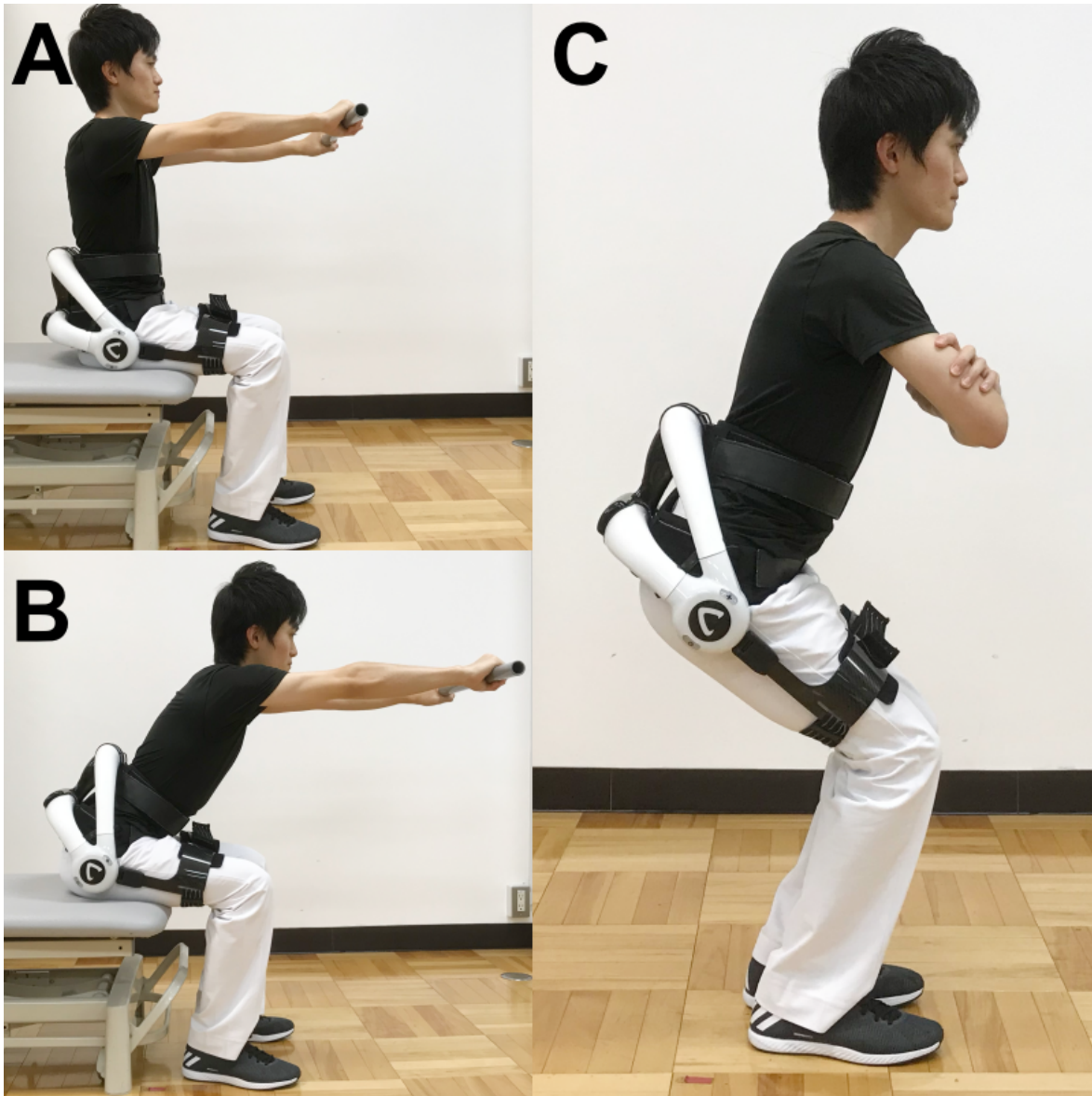
476 **Figure 1.**



477

478

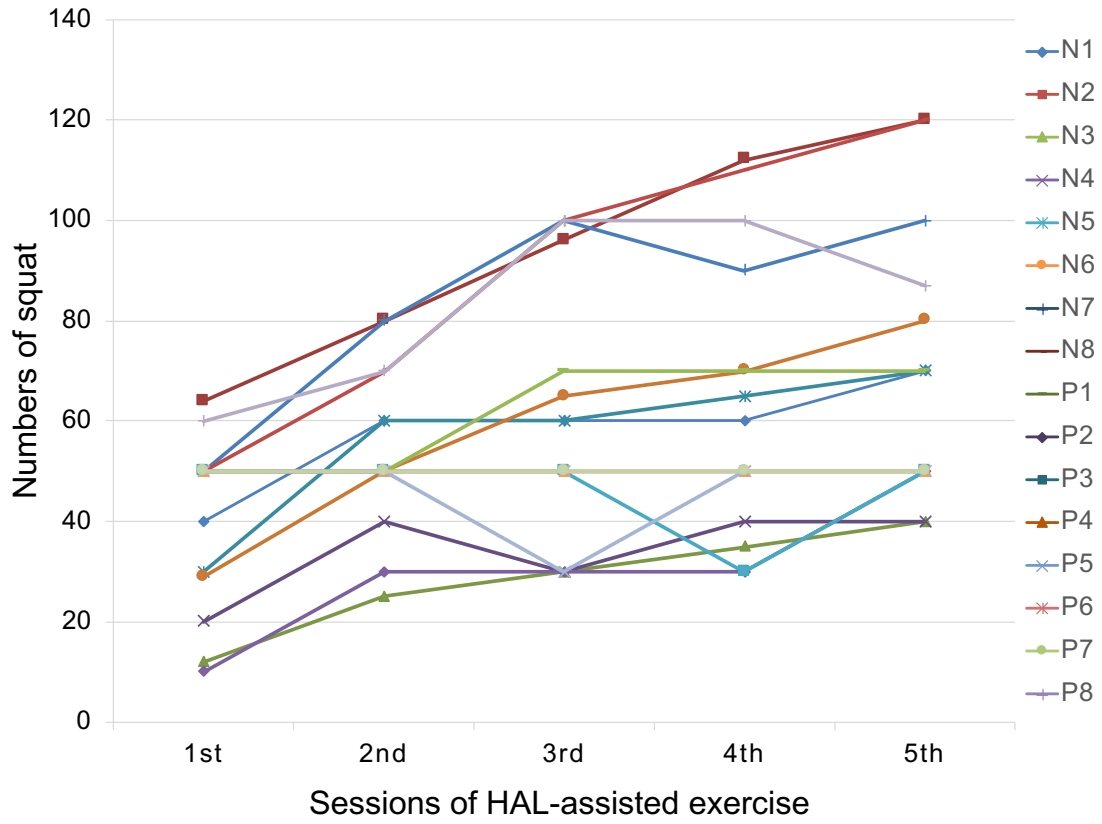
479 **Figure 2.**



480

481

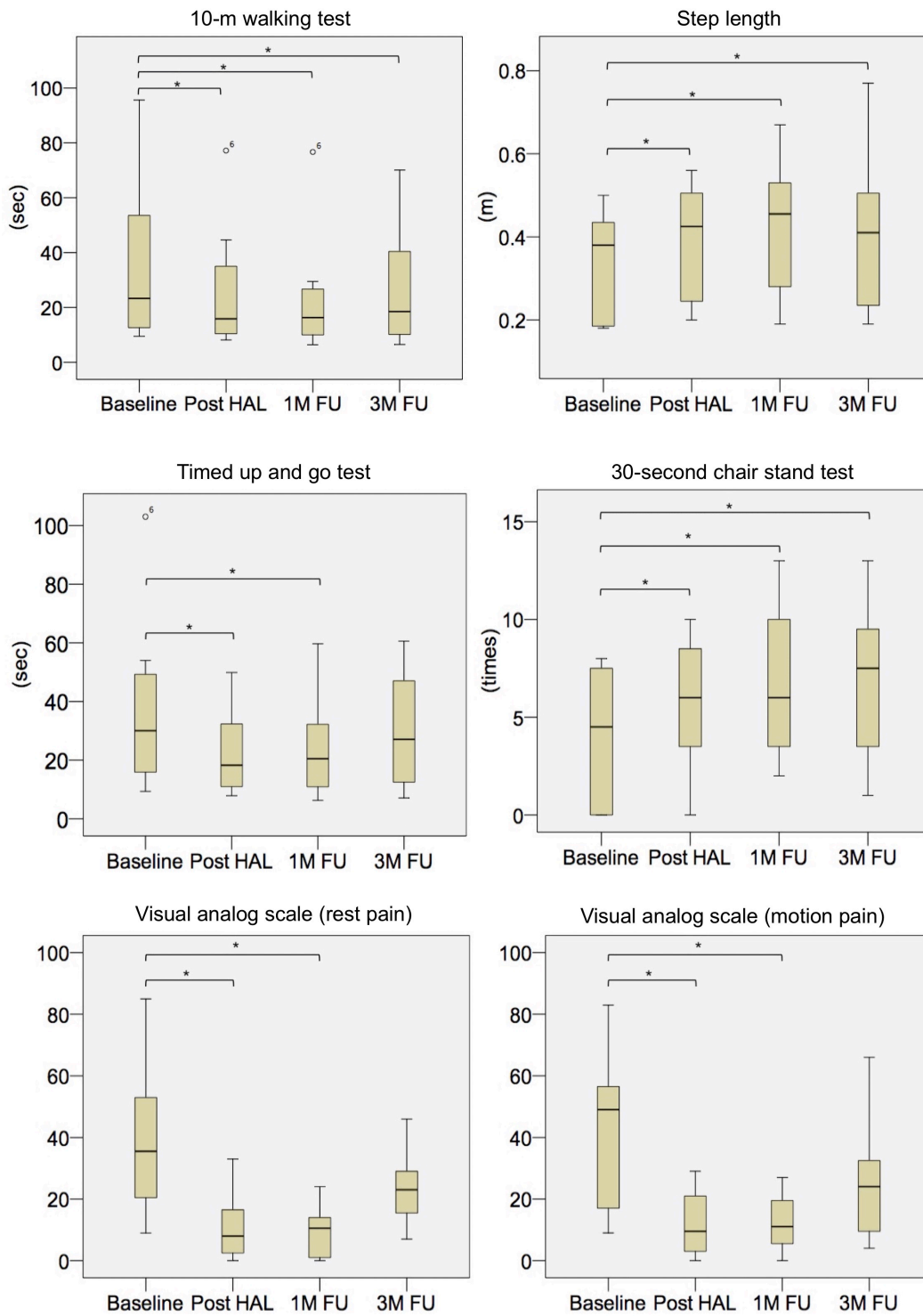
482 **Figure 3.**



483

484

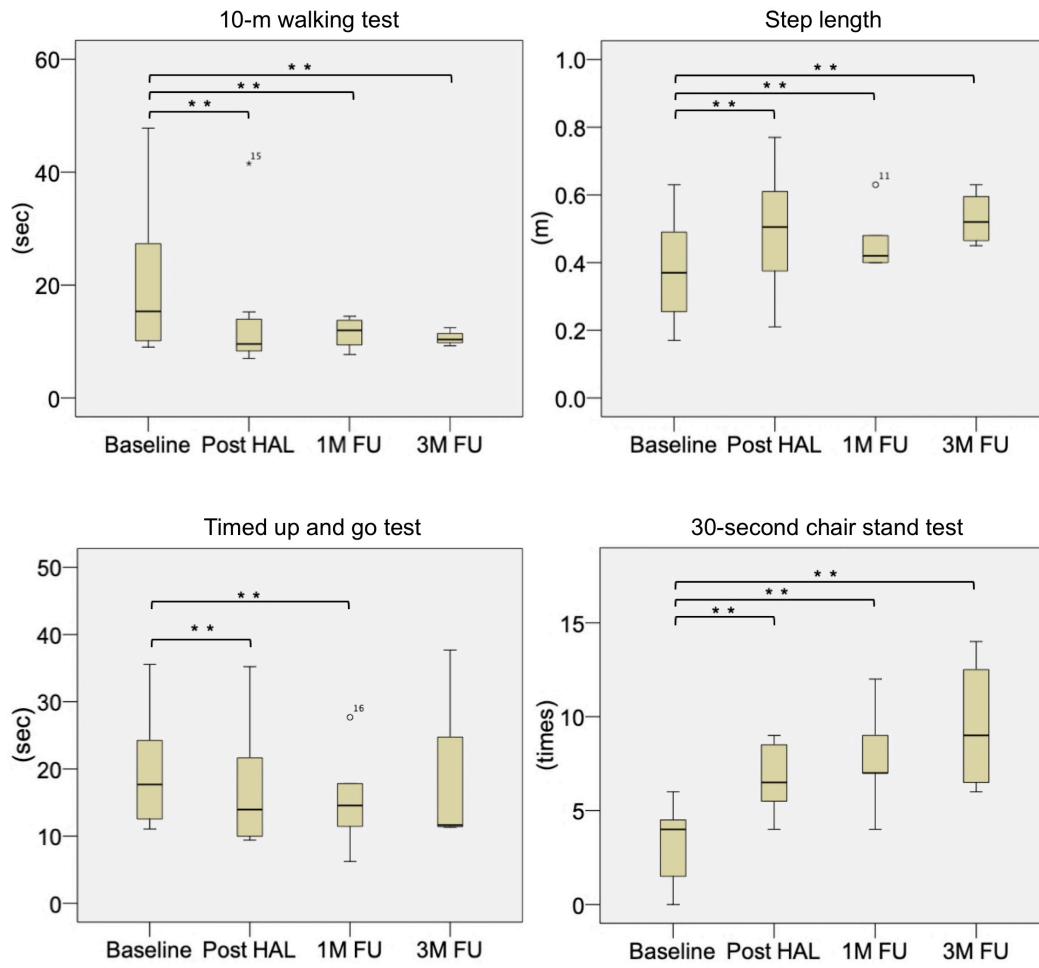
485 **Figure 4.**



486

* $0.01 \leq p < 0.05$

487 **Figure 5.**

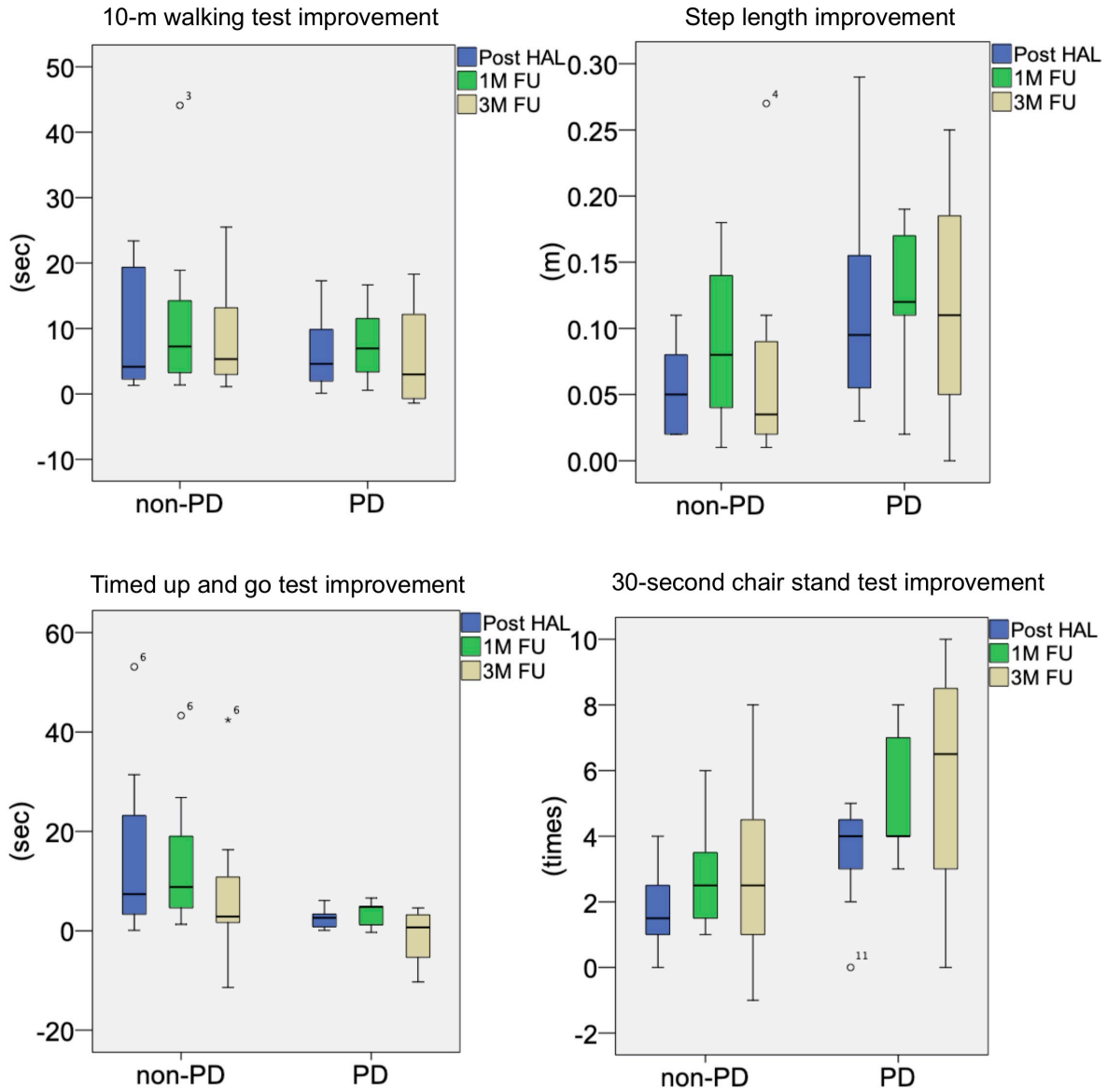


** p < 0.01

488

489

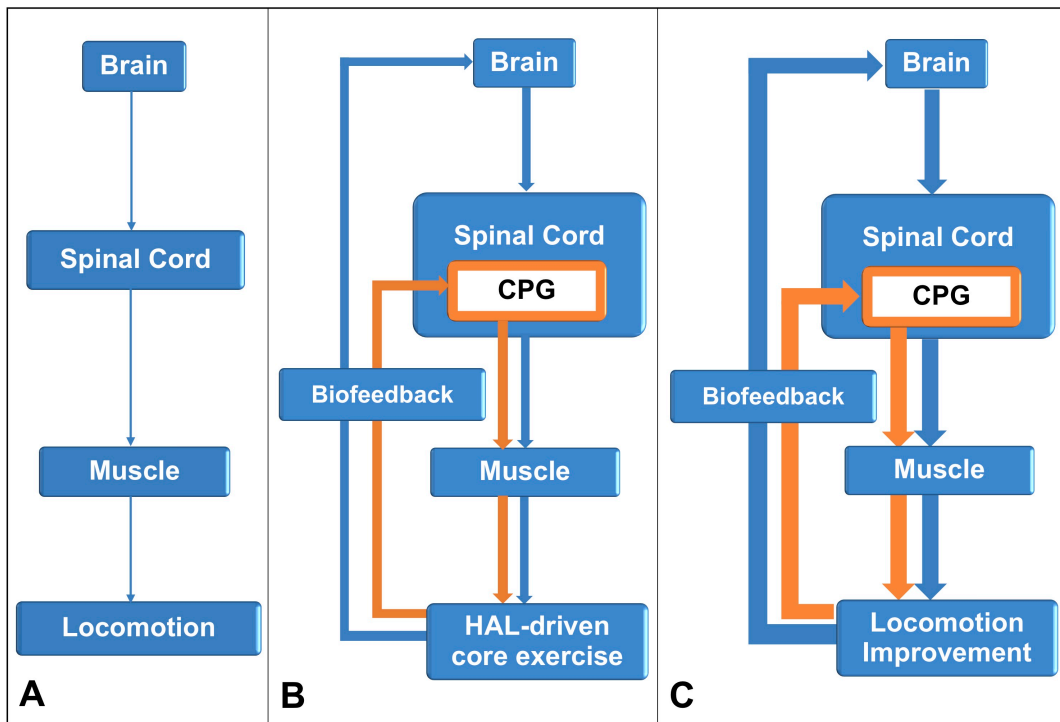
490 **Figure 6.**



491

492

493 **Figure 7.**



494

495 **Table 1. Frailty-defining criteria**

Criteria	Measurement
Weight loss	Lost >5 kg unintentionally in prior 12 months
Exhaustion	Felt exhausted for no reason in last week (self-report)
Low physical activity	Activity scale Male: <383 Kcal/week Female: <270 Kcal/week
Slowness	Time >10 sec to walk 10 m at usual pace
Weakness	Grip strength Male: <26 kg Female: <18 kg

- 496 Frailty: three or more criteria presents
 497 Pre-frailty: one or two criteria presents
 498 Robust: no criteria present
 499

500 **Table 2. Baseline demographics of two cohorts.**

	non-PD	PD	p-values
N	8	8	
Age (years)	73.8 ± 13.2	68.6 ± 8.3	0.161
Sex	Male 3 (37.5%) Female 5 (62.5%)	Male 4 (50.0%) Female 4 (50.0%)	0.614
Disease duration (PD, years)	N/A	10.9 ± 7.1	
H&Y stage (PD)	N/A	III 3 (37.5%) IV 5 (62.5%)	
Weight (kg)	58.0 ± 9.1	56.3 ± 13.8	0.959
Height (cm)	158.3 ± 8.9	159.9 ± 13.0	1.000
BMI	23.1 ± 2.4	21.8 ± 4.0	0.279
10MWT (sec)	35.5 ± 31.1	20.3 ± 13.3	0.328
Step length (m)	0.33 ± 0.13	0.38 ± 0.16	0.645
TUG (sec)	37.9 ± 30.9	19.5 ± 8.5	0.279
CST-30 (times)	4.0 ± 3.7	3.3 ± 2.2	0.645

501 10MWT = 10-m walking test; BMI = body mass index; CST-30 = 30-second chair stand
 502 test; H&Y stage = Hoehn and Yahr Stage; PD = Parkinson’s disease; TUG = timed up and
 503 go test

504 Measured values are presented as means ± standard deviation.

505 **Table 3. Patient characteristics**

non-PD group					PD group					
Case	Age	Sex	Frailty	Comorbid Spine Problems	Case	Age	Sex	Frailty	Comorbid Spine Problems	H&Y stage (on / off)
1	84	F	Frailty	Mild LCS	1	75	F	Frailty	Lumbar spondylosis (L4,5)	III / IV
2	79	M	Pre-frailty	LCS s/p laminectomy	2	63	F	Pre-frailty	None	IV / IV
3	87	F	Frailty	LCS s/p PLIF	3	65	F	Pre-frailty	None	III / III
4	46	F	Pre-frailty	Spina bifida	4	61	M	Pre-frailty	None	IV / IV
5	73	F	Frailty	Mild LCS	5	60	M	Pre-frailty	None	IV / IV
6	67	M	Pre-frailty	Vertebral compression fx (L2)	6	66	M	Pre-frailty	Mild LCS	IV / IV
7	83	F	Pre-frailty	Vertebral compression fx (L5)	7	76	F	Frailty	None	IV / IV
8	71	M	Pre-frailty	LCS s/p PLIF	8	83	M	Frailty	Mild LCS	III / III
	73.8 ±	3 males				68.6 ±	4 males			
	13.2	5 females				8.3	4 females			

506 fx = fracture; H&Y stage = Hoehn and Yahr Stage; LCS = lumbar canal stenosis; PD = Parkinson's disease; PLIF = posterior
 507 lumbar interbody fusion; s/p = status post

508

509 **Table 4. Details of clinical outcomes**

	non-PD group				PD group			
	Baseline	Post HAL	1M follow-up	3M follow-up	Baseline	Post HAL	1M follow-up	3M follow-up
10MWT (sec)	23.3 [13.5, 46.3]	15.9 [10.8, 30.2] (0.012)	16.3 [10.2, 25.3] (0.012)	18.5 [10.3, 34.6] (0.012)	15.3 [10.6, 26.7]	9.6 [8.5, 13.3] (<0.001)	12.0 [9.4, 13.8] (0.001)	10.4 [10.1, 10.9] (0.006)
Step length (m)	0.38 [0.19, 0.43]	0.43 [0.26, 0.49] (0.012)	0.46 [0.32, 0.53] (0.012)	0.41 [0.24, 0.49] (0.012)	0.37 [0.28, 0.47]	0.51 [0.42, 0.60] (<0.001)	0.42 [0.40, 0.48] (0.001)	0.52 [0.47, 0.57] (0.003)
TUG (sec)	30.1 [17.5, 47.0]	18.3 [11.3, 28.8] (0.012)	20.5 [11.0, 31.6] (0.012)	27.1 [13.1, 42.6] (0.093)	17.7 [12.9, 22.7]	14.0 [10.1, 20.2] (<0.001)	14.6 [11.5, 17.8] (0.002)	11.7 [11.5, 18.3] (0.136)
CST-30 (times)	4.5 [0.0, 7.3]	6.0 [3.8, 8.3] (0.017)	6.0 [3.8, 9.5] (0.011)	7.5 [3.8, 8.8] (0.024)	4.0 [2.3, 4.3]	6.5 [5.8, 8.3] (0.001)	7.0 [7.0, 9.0] (0.001)	9.0 [6.8, 11.8] (0.006)
VAS at rest	35.5 [23.3, 48.5]	8.0 [3.8, 16.3] (0.036)	10.5 [1.5, 14.0] (0.012)	23.0 [17.8, 28.5] (0.233)				
VAS in motion	49.0 [19.5, 55.3]	9.5 [4.5, 18.5] (0.017)	11.0 [5.8, 17.8] (0.028)	24.0 [10.8, 31.8] (0.176)				

510 10MWT = 10-m walking test; CST-30 = 30-second chair stand test; HAL = hybrid assistive limb; PD = Parkinson’s disease;
 511 TUG = timed up and go test; VAS = visual analog scale

512 Measured values are presented as median, [interquartile range] and (p-values compared to baseline).
513